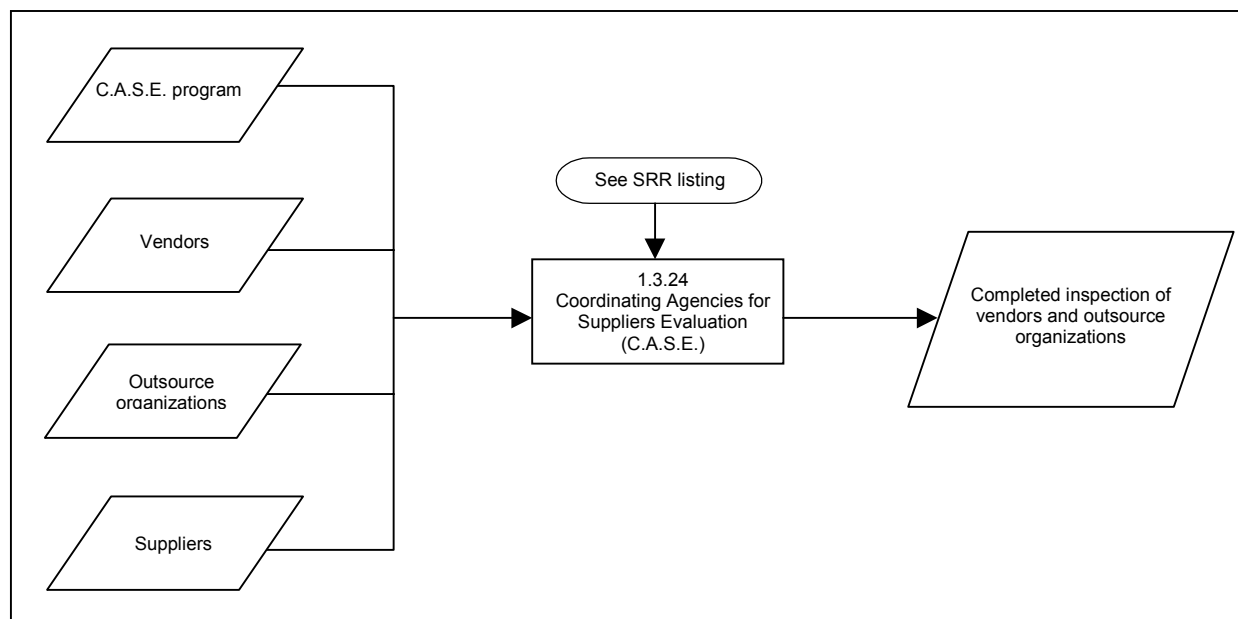


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ELEMENT SUMMARY INFORMATION

Element: 1.3.24 Coordinating Agencies for Suppliers Evaluation (C.A.S.E.)

Purpose of this Element (Air Carrier's responsibility): The Air Carrier provides policies/procedures for their participation in the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) in accordance with Maintenance Operations Specification D090.

Objective (FAA responsibility): To determine if the air carrier's Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process includes safety attributes.

Inputs:

- C.A.S.E. Program
- Vendors
- Outsource Organizations
- Suppliers

Outputs:

- Completed inspection of vendors and outsource organizations

Performance Measures:

- The Air Carrier is authorized and utilizes the provisions of Operations Specifications Paragraph D090 and the C.A.S.E. Policy and Procedures Manual.
- The Air Carrier's Manual contains procedures for administering and participating in the C.A.S.E. Program.

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SRR:

There are no Specific Regulatory Requirements. The Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) Program is a means of qualifying a vendor for compliance with 14 CFR 121.373.

Other CFRs and/or FAA Guidance:

- 14 CFR 121.363(a)(b) Responsibility for Airworthiness
- 14 CFR 121.367(a)(b)(c) Maintenance, preventive maintenance, and alterations programs
- 14 CFR 121.373(a)(b) Continuous Analysis and Surveillance
- FAA Order 8300.10, Vol. 3, Chap 45 “Surveillance of 121/135 Operators Participating in Coordinating Agencies for Supplier’s Evaluation (C.A.S.E.)”
- FAA Order 8300.10, Vol. 2, Chap 84, “CFR Part 121 Operations Specifications”
- FAA Order 8300.10, Vol. 2, Chap 95, “Evaluate FAR Part 121 Operator/Applicants for participation in Coordinating Agencies for Supplier’s Evaluation (C.A.S.E.)”
- Operations Specifications Paragraph D090
- Refer to Advisory Circulars using a search engine (such as ATP Navigator or Summit)

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SRR SPECIFIC INFORMATION

There are no Specific Regulatory Requirements. The Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) Program is an alternative means for compliance with FAR 121.373.

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1.3.24 Coordinating Agencies for Suppliers Evaluation (C.A.S.E.)

SECTION 1 - RESPONSIBILITY ATTRIBUTE

Objective: To determine if there is a clearly identifiable, qualified, and knowledgeable person who is accountable for the quality of the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process.

To meet this objective, the inspector will accomplish the following tasks:

1. Identify the person who is responsible for the quality of the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process.
2. Review the description in the Manual that delineates the duties and responsibilities of the person.
3. Evaluate the person's qualifications and work experience (or resume', if appropriate).
4. Review the appropriate organizational chart.
5. Discuss the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process with the person.

To meet this objective, the inspector will answer the following questions:

1. Is there a clearly identifiable person who is answerable for the quality of the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If yes, provide the name: <input type="checkbox"/> NO If no, explain:
2. Does the person understand the procedures associated with the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
3. Does the person understand the controls associated with the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
4. Does the person understand the interfaces associated with the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
5. Does the person understand the process measurements associated with the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
6. Is the responsibility of this position clearly documented in the air carrier's Manual(s)?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
7. Are the qualification standards for this position clearly documented?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
7a Are the qualification standards for this position appropriate for the duties that are assigned?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
8. Does the person meet the qualification standards?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
9. Does the person acknowledge that he/she has responsibility for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
10. Does the person know who has authority to establish and modify the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO

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1.3.24 Coordinating Agencies for Suppliers Evaluation (C.A.S.E.)

SECTION 2 – AUTHORITY ATTRIBUTE

Objective: To determine if there is a clearly identifiable, qualified, and knowledgeable person with the authority to establish and modify the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process.

To meet this objective, the inspector will accomplish the following tasks:

1. Identify the person who has the authority to establish or modify the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process.
2. Review the description in the Manual that delineates the duties and responsibilities of the person.
3. Evaluate the person's qualifications and work experience (or resume', if appropriate).
4. Review the appropriate organizational chart.
5. Discuss the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process with the person.

To meet this objective, the inspector will answer the following questions:

1. Is there a clearly identifiable person who has authority to establish and modify the air carrier's policies for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If yes, provide the name: <input type="checkbox"/> NO If no, explain:
2. Does the person understand the procedures associated with the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
3. Does the person understand the controls associated with the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
4. Does the person understand the interfaces associated with the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
5. Does the person understand the process measurements associated with the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
6. Is the authority of this position clearly documented in the air carrier's Manual(s)?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
7. Are the qualification standards for this position clearly documented?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
7a Are the qualification standards for this position appropriate for the duties that are assigned?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
8. Does the person meet the qualification standards?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
9. Does the person acknowledge that he/she has authority for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
10. Does the individual know who has the responsibility for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
11. Are the procedures for delegation of authority clearly documented for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO

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1.3.24 Coordinating Agencies for Suppliers Evaluation (C.A.S.E.)

SECTION 3 – PROCEDURES ATTRIBUTE

Objective: To determine if the air carrier has documented procedures for accomplishing the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process.

To meet this objective, the inspector will accomplish the following tasks:

1. Review the documented instructions and information related to the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process to ensure that they contain who, what, where, when, and how.
2. Review the FAA Guidance and Specific Regulatory Requirements (SRR) included in the supplemental information section of this SAI.
3. Discuss the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process with appropriate personnel to gain an understanding of the procedures.
4. Observe the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process to gain an understanding of the procedures.

To meet this objective, the inspector will answer the following questions:

1. Do written procedures exist to achieve the desired result of the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process:

1.1 Do written procedures provide detailed instructions and information for the C.A.S.E. Program?

☐ YES **If no or N/A, explain:**
☐ NO
☐ N/A

1.2 Do written procedures require the Air Carrier to inspect vendors/suppliers/outsourced organizations that have not been audited by C.A.S.E. before using them?

☐ YES **If no or N/A, explain:**
☐ NO
☐ N/A

2. Do the procedures identify: who, what, where, when and how?

☐ YES **If no, explain:**
☐ NO

3. Are the procedures in compliance with the CFR(s)?

☐ YES **If no, explain:**
☐ NO

4. Do the procedures conform to other written guidance (E.g., Operations Specifications, FAA Orders, Airworthiness Directives, Advisory Circulars, Handbook Bulletins, Directives, and Manufacturer's Recommendations)?

☐ YES **If no, explain:**
☐ NO

5. Does the air carrier have the resources to support the written procedures for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?

☐ YES **If no, explain:**
☐ NO

6. If alternate procedures exist for use during irregular conditions, do they achieve the same desired results as the primary procedures so that an equivalent level of safety is maintained? (E.g., a manual system used as a result of equipment failure).

☐ YES **If no, explain:**
☐ NO
☐ N/A, No alternate procedures exist for this element

7. Are the procedures published in different manuals relating to the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process consistent?

☐ YES **If no, explain:**
☐ NO

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1.3.24 Coordinating Agencies for Suppliers Evaluation (C.A.S.E.)

SECTION 3 – PROCEDURES ATTRIBUTE

8. Does the air carrier have a documented method for assessing the impacts of procedural changes to the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?

☐ YES If no, explain:
☐ NO

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1.3.24 Coordinating Agencies for Suppliers Evaluation (C.A.S.E.)

SECTION 4 – CONTROL ATTRIBUTE

Objective: To determine if checks and restraints are designed into the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process to ensure a desired result is achieved.

To meet this objective, the inspector will accomplish the following tasks:

1. Review the documented instructions and information related to the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process.
2. Review the FAA Guidance and Specific Regulatory Requirements (SRR) included in the supplemental information section of this SAI
3. Discuss the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process with appropriate personnel to gain an understanding of the controls.
4. Observe the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process to gain an understanding of the controls.

To meet this objective, the inspector will answer the following questions:

1. Are the following checks and restraints built into the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process:

1.1 Do the Air Carrier's procedures meet or exceed the provisions of the Operations Specifications Paragraph D090?

☐ YES **If no or N/A, explain:**
☐ No
☐ N/A

1.2 Does the Air Carrier have and maintain a list that differentiates between C.A.S.E. and non-C.A.S.E. vendors/suppliers/outsource organizations?

☐ YES **If no or N/A, explain:**
☐ No
☐ N/A

2. Do the checks and restraints ensure the desired result is achieved for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?

☐ YES **If no, explain:**
☐ No

3. Does the air carrier have a documented method for assessing the impacts of any changes made to checks and restraints in the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?

☐ YES **If no, explain:**
☐ No

4. Does the air carrier have the resources to support the checks and restraints for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?

☐ YES **If no, explain:**
☐ No

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1.3.24 Coordinating Agencies for Suppliers Evaluation (C.A.S.E.)

SECTION 5 - PROCESS MEASUREMENT ATTRIBUTE

Objective: To determine if the air carrier measures and assesses its Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process, to identify and correct problems or potential problems.

To meet this objective, the inspector will accomplish the following tasks:

1. Review the documented instructions and information related to the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process.
2. Discuss the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process with appropriate personnel to gain an understanding of the process measures.
3. Observe the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process to gain an understanding of the process measures.

To meet this objective, the inspector will answer the following questions:

1. <Deleted>

2. Does the air carrier's Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process include the following process measurements?

2.1 The Air Carrier audits the C.A.S.E. Program to ensure that it complies with the Operations Specifications Paragraph D090.

☐ YES If no or N/A, explain:
☐ NO
☐ N/A

2.2 The Air Carrier audits the C.A.S.E. Program to ensure that it complies with the C.A.S.E. Policy and Procedures Manual.

☐ YES If no or N/A, explain:
☐ NO
☐ N/A

2.3 The Air Carrier audits the records of inspection of non-C.A.S.E. vendors/ suppliers/outsourced organizations to ensure that those inspections have been completed and approved prior to use.

☐ YES If no or N/A, explain:
☐ NO
☐ N/A

3. Does the air carrier document their process measurement methods and results?

☐ YES If no, explain:
☐ NO

4. Are the air carrier's process measurement methods effective?

☐ YES If no, explain:
☐ NO

5. Does the air carrier use their process measurement results to improve their programs?

☐ YES If no, explain:
☐ NO

6. Are the process measurement results accessible to the FAA?

☐ YES If no, explain:
☐ NO

7. Does the organization that conducts the process measurement have direct access to the person with responsibility for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?

☐ YES If no, explain:
☐ NO

8. Does the air carrier have the resources to support the process measurement for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process?

☐ YES If no, explain:
☐ NO

Safety Attribute Inspection (SAI) Job Aid

1.3.24 Coordinating Agencies for Suppliers Evaluation (C.A.S.E.)

SECTION 6 – INTERFACES ATTRIBUTE

Objective: To determine if the air carrier identifies and manages the interactions between the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process and the other element processes within the air carrier organization.

To meet this objective, the inspector will accomplish the following tasks:

1. Review the documented instructions and information related to the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process.
2. Discuss the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process with appropriate personnel to gain an understanding of the interfaces.
3. Observe the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process to gain an understanding of the interfaces.

To meet this objective, the inspector will answer the following questions:

1. Are the following interfaces identified for the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process:

1.1 Aircraft Airworthiness Requirements (Element 1.1.1)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.2 Maintenance Log/Recording Requirements (Element 1.2.3)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.3 Inspection Program (Element 1.3.2)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.4 Outsource Organization (Element 1.3.7)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.5 Control of Calibrated Tools and Test Equipment (Element 1.3.8)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.6 Parts/Material Control/SUP (Element 1.3.10)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.7 Continuous Analysis and Surveillance (CAS) (Element 1.3.11)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.8 GMM/Equivalent (Element 1.3.14)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A

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1.3.24 Coordinating Agencies for Suppliers Evaluation (C.A.S.E.)

SECTION 6 – INTERFACES ATTRIBUTE

1.9 Fueling (Element 1.3.16)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.10 De-Icing Program (Element 1.3.18)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.11 Maintenance Certificate Requirements (Element 4.1.2)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.12 Maintenance Training Programs (Element 4.2.1)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.13 Director of Maintenance (Element 7.1.1)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.14 Chief Inspector (Element 7.1.2)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.15 Manual Currency (Element 2.1.1)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.16 Content Consistency Across Manuals (Element 2.1.2)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.17 Manual Distribution (Element 2.1.3)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
1.18 Manual Availability (Element 2.1.4)	<input type="checkbox"/> YES If no or N/A, explain: <input type="checkbox"/> No <input type="checkbox"/> N/A
2. List any additional interfaces identified:	
3. Are there written procedures for the use of air carrier personnel in the application of these interfaces?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
4. Are there controls to ensure that interfaces occur?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO
5. Are the interfaces between the Coordinating Agencies for Suppliers Evaluation (C.A.S.E.) process and other processes treated consistently in the Manual(s)?	<input type="checkbox"/> YES If no, explain: <input type="checkbox"/> NO